

Electronic Acknowledgement Receipt

EFS ID:	4584613
Application Number:	10680010
International Application Number:	
Confirmation Number:	5467
Title of Invention:	Methods, systems and securities for assuring a company an opportunity to sell stock after a specified time
First Named Inventor/Applicant Name:	Emerson P. Jones
Customer Number:	32361
Filer:	Barry J. Schindler/Christine Lucas
Filer Authorized By:	Barry J. Schindler
Attorney Docket Number:	06878.114601
Receipt Date:	09-JAN-2009
Filing Date:	07-OCT-2003
Time Stamp:	12:31:08
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1290
RAM confirmation Number	7214
Deposit Account	501561
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		jones_am.pdf	2393338 <small>6dface58f6c3b4fd4d1286193a624c622b2f628d0</small>	yes	53
Multipart Description/PDF files in .zip description					
	Document Description		Start		End
	Miscellaneous Incoming Letter		1		1
	Extension of Time		2		2
	Amendment/Req. Reconsideration-After Non-Final Reject		3		3
	Specification		4		4
	Claims		5		7
	Applicant Arguments/Remarks Made in an Amendment		8		13
	Information Disclosure Statement Letter		14		14
	Information Disclosure Statement (IDS) Filed (SB/08)		15		21
	NPL Documents		22		24
	NPL Documents		25		33
	NPL Documents		34		37
	NPL Documents		38		40
	NPL Documents		41		41
	NPL Documents		42		43
	NPL Documents		44		45
	NPL Documents		46		47

	NPL Documents	48	52
	NPL Documents	53	53

Warnings:

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	32040	no	2
			00622c477b6f729c9c358bdc78c91047b4036		

Warnings:

Information:

Total Files Size (in bytes):	2425378
------------------------------	---------

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.